## Orthopaedic Section Knee Pain Pilot Project

## Introductory Webinar May 17, 2016

James Irrgang PT, PhD ,ATC, FAPTA Chair, NOPTOD Task Force

G. Kelley Fitzgerald, PT, PhD, FAPTA Chair, Knee Outcomes Work Group



Allyn Bove, PT, DPT Member, Knee Outcomes Work Group

## Orthopaedic Section Strategic Plan

Strategic Outcome 1 – Standards of Practice:

Objective B – Develop National Orthopaedic Physical Therapy Outcomes Database



#### **NOPTOD Task Force**

- James Irrgang PT PhD ATC FAPTA (Chair)
- Gerard Brennan PT PhD
- William Boissonnault PT DHSc DPT FAAOMPT FAPTA
- Chad Cook PT PhD MBA FAAOMPT
- Anthony Delitto PT PhD FAPTA
- Joe Godges PT DPT MA OCS
- Lori Michener PT PhD ATC SCS
- Michael Reed DPT MSc OCS MTC
- Joshua Cleland PT PhD OCS FAAOMPT
- Marc Goldstein EdD



#### **Purposes:**

- Provide clinicians with a tool they can use to assess their clinical performance
- Describe orthopaedic physical therapy practice
- Provide evidence of the value of orthopaedic physical therapy



#### **Quality Improvement Projects:**

- Assess clinician and organizational performance
- Permitted use of protected health information
- Does <u>not</u> require IRB approval



The NOPTOD is NOT a Research Project

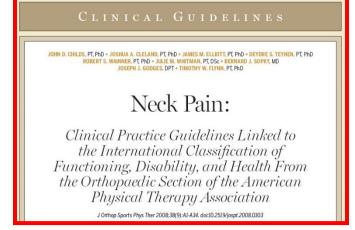


#### **Neck Pain Pilot Project:**

- Successfully completed
  - N = 250 patients
  - N = 40 therapists

#### **Shoulder Pain Pilot Project:**

Currently In Process



MARTIN J. KELLEY, DPT • MICHAEL A. SHAFFER, MSPT • JOHN E. KUHN, MD • LORI A. MICHENER, PT, PhD

AMEE L. SEITZ, PT, PhD • TIMOTHY L. UHL, PT, PhD • JOSEPH J. GODGES, DPT, MA • PHILIP W. MCCLURE, PT, PhD

#### Shoulder Pain and Mobility Deficits: Adhesive Capsulitis

Clinical Practice Guidelines Linked to the International Classification of Functioning, Disability, and Health From the Orthopaedic Section of the American Physical Therapy Association



#### Purposes of Knee Pilot Project:

- Demonstrate <u>feasibility</u> of collecting and analyzing outcomes data
- Determine <u>usefulness</u> of information to enhance clinician performance & <u>establish</u> <u>value</u> of orthopaedic physical therapy
- Use results to <u>plan for an electronic data</u>
   <u>capture</u> & analysis system for the NOPTOD



#### **Overview:**

Participation in Pilot Project is

Voluntary & Open to All PT Members of

Orthopaedic Section



#### **Overview: Registration Process**

Contact Ortho Section office for Registration

E-mail: Ivogt@orthoPT.org

Postal mail: Orthopaedic Section, APTA, Inc.

2920 East Avenue South, Suite 200

La Crosse, WI 54601

Telephone: (800) 444-3982 ext. 2090

Fax: (608) 788-3965

#### Complete Registration Form:

- Name
- E-mail address
- Practice setting
- Organization
- Facility
- Entry level degree

- Years of practice
- Advanced degrees (including DPT if entry level degree was not DPT)
- Residencies/Fellowships
- ABPTS certifications



Also See: MOP page 6

#### **Overview: Registration Process**

- Completed registration form submitted to Section Office
- Section Office will assign organization/facility & PT ID numbers
- Individualized Case Report Forms, including the organization/facility & PT ID numbers will be provided by Section Office
- Only use Case Report Forms that contain your personal ID information to submit information to the NOPTOD
- Data Use Agreement between clinical site and section
  - Complete and return prior to submission of any data



- Data collection: paper-based forms
- Data to be collected includes:
  - Patient characteristics
  - Symptoms & physical examination findings
  - Treatment classification(s)
  - Interventions
  - Clinical outcomes
  - Information summarizing episode of care



- Data collected prospectively for 6 months:
  - June 1, 2016 November 30, 2016
- Record data during course of care
  - Retrospective chart review of patients treated prior to data collection period <u>not eligible</u> for inclusion in pilot project



- Completed forms to be sent to Orthopaedic Section Office
  - Scanned & e-mailed
  - Faxed
  - US Postal Service
- Forms should be sent soon after the end of care, but will also accept forms monthly or at end of data collection period



#### **Overview:**

#### Analysis & summary of information:

- Completeness of data collection
- Accuracy of treatment classification
- Adherence to evidence-based treatment guidelines
- Summary of clinical outcomes
- Summary of episode of care (duration, visits)



- Results sent to all section members contributing data
- Summary of <u>personal results</u>
- Summary of <u>overall results</u> to permit comparisons with peers across country
- All results reported <u>anonymously</u>



#### **Two Key Documents**

#### Manual of Operating Procedures (MOP)

- Reference document describing project and details of standardized methods for data collection
- The MOP should be able to answer most of your questions about completing the forms

#### Case Report Form

- Data Collection Form
  - Intake Data (pages 1 and 2)
  - Weekly Reporting (pages 3 and 4)



#### Manual of Operating Procedures (MOP):

- Developed by team of researchers and clinicians based on published literature and practice guidelines
- G. Kelley Fitzgerald, PT, PhD, FAPTA (Chair)
- Allyn Bove, PT, DPT
- Gerard Brennan, PT, PhD
- Terese Chmielewski, PT, PhD, SCS
- James Irrgang, PT, PhD, ATC, FAPTA
- David Logerstedt, PT, PhD, MPT, MA, SCS
- Andrew Lynch, DPT, PhD
- Kate Minick, PT, DPT, OCS
- Brett Neilson, PT, DPT, OCS, FAAOMPT



#### Manual of Operating Procedures (MOPs):

- Reference document providing detailed description of project:
  - Overview & purpose of project
  - Instructions for registration to participate in pilot project
  - Instructions for completing & submitting Case Report Forms

#### Standardized methods for:

- Assessment of symptoms
- Examination procedures
- Classification of patient
- Reporting intervention strategies



Assessment of outcomes

### **Case Report Form**

#### **Identification Information:**

- Section Office will provide 10 forms
  - Clinic, PT & Patient ID numbers
- Start with form number 001 and use consecutively numbered form for each new patient
- Do not include any patient identification information (name, SSN, MRN) on form
- Use only forms that have your ID information
- Contact Orthopaedic Section Office if additional forms are needed



#### **Case Report Form Overview:**

- Intake Reporting (pages 1-2)
  - Episode of Care Data
  - Patient characteristics
  - Functional Status
  - Examination findings & Classification
- Weekly Reporting (pages 3-4)
  - Daily/weekly
  - Interventions
  - Outcomes
  - Changes in Classification



No Patient Identifiers!!!

Clinic ID	PT ID	Patient ID
		r delette ib

## National Orthopaedic Physical Therapy Outcomes Database Orthopaedic Section, APTA Knee Case Report Form

Lpisode of Care				
Start of Care Date://_	End of 0	Care Date: / /	# of Visits:	
End of care status (select one	): Discharged by PT	Patient terminated treatm	ent  Physician termi	nated treatment
Patient Characteristics				
Demographics	Comorbidities	Knee History	Non-Surgical	Surgical
Age: years		Current use of:	Onset://	Surgery date: / /
Gender: ☐ M ☐ F	☐ Arthritis (OA or RA)	☐ NSAIDs	Mechanism:	Date of injury leading to
Height: inches	☐ Osteoporosis	Rx opioids	Gradual or chronic	surgery://
Weight: pounds	☐ Asthma	☐ Oral steroids	☐ Sudden,	
Ethnicity:	COPD, ARDS	Recent knee injections:	nontraumatic	Cause of surgery:
☐ Not Hispanic	☐ Angina	☐ Corticosteroids	☐ Traumatic	☐ Gradual or chronic
☐ Hispanic	CHF, CAD	Viscosupplementation	Recurrent problem?	Sudden, nontraumatic
Race (all that apply):	MI (MS DD)	# of prior knee surgeries	□ No	☐ Traumatic
☐ White/Caucasian	☐ Neuro (MS, PD) ☐ CVA or TIA	□ 0 □ 1 □ ≥ 2	☐ Yes, < 1 month hx	Surgery (check all that apply)
☐ Black/African-American	□ PVD	Side(s) being treated:	☐ Yes, 1-6 month hx	■ Menisectomy
☐ Asian	DM (I or II)	☐ Unilateral	☐ Yes, 6-12 month hx	Meniscus repair
☐ Hawaiian/Pacific Islander	Upper GI	☐ Bilateral	☐ Yes, > 12 month hx	☐ ACL reconstruction/repair
Am. Indian/Alaska Native	Depression			Other lig. recon./repair
□ Other	Anxiety, panic	Is surgery the reason for		☐ Cartilage procedure
Insurance (all that apply):	☐ Visual impairment	current episode of care?		☐ Patellofemoral procedure
□ Commercial	☐ Hearing impairment	Y (skip next column)		☐ TKA
☐ Medicare	DDD, stenosis	□ N (complete next		UKA
Medicaid	☐ Obesity (BMI ≥ 30)	column, skip last column)		□ нто
☐ Self-Pay				ORIF or other fx repair
Automobile		+		☐ Arthroscopic
☐ Workers Compensation	Smoking: None			lavage/debridement
☐ Other	☐ Current ☐ Past			☐ Other

#### <u>Intake</u>

#### **Episode of Care:**

- Dates for start and end of care:
  - Use mm/dd/yyyy format
- Total number of visits during episode
- End of care status:
  - Discharged by PT
  - Patient terminated treatment



- Physician terminated treatment
- Other (e.g. patient deceased)

Also See: MOP Page 7

#### **Patient Characteristics:**

#### **Demographics:**

- Age
- Gender
- Height and Weight
- **Ethnicity and Race**
- Insurance

#### Comorbidities:

Per Charlson Index

**Smoking** (Current, Past, None)

#### **Knee History**

- Current Meds (for Knee only)
- Recent injections?
- # of Prior Surgeries
- Unilateral vs. Bilateral treatment
- Surgery (yes/no)

#### Non-Surgical (skip column if surgical)

- Onset date
- Onset mechanism
- Recurrent problem?

#### Surgical (skip column if non-surgical)

- Date of surgery
- Date of injury
- Onset mechanism

**MOP Pages 7-9** 

Also See:

Surgical procedure



Clinic ID		PT ID		_			Patient ID
Functio	nal Status						
	ns with activities of daily living	Limitations with work of	r homer	making duties	Limitations with	streni	uous activity or sport
	□Y □N □N/A	□ Y □ N	<b>□</b> N/	A	□ Y	☐ N	□ N/A
Diame	ania Classificanias O Company	alian Errandantan	Eta alta				
Diagnos	stic Classification & Correspor	nding Examination	Finain	gs (check all th	at apply)		
	red Knee Joint Motion				Mobility of Soft	Tissue	9
1	imited extension ROM (>3 deg side/sid	•			nful scar mobility		
1	imited flexion ROM (>5 deg side/side o	•			omobile patellar g		
☐ Fu	unctional limitation of ROM, or stiffnes	SS	ш	Pain with palpa	ation of knee soft	tissue	
☐ Impair	red Quadriceps Strength or Endura	ance	□ Imp	aired Structur	al Alignment		
_	resence of lag		_		valgus observed i	n static	postural exam
	MMT 4/5 or lower on one or both sides				-		in static postural
	0% or greater deficit compared to unir			exam			
1	r dynamometer			True leg length	discrepancy		
☐ Fu	unctional strength or endurance defici	t observed by PT					
			☐ Imp	aired Neurom	uscular Control		
	red Musculotendinous Length			Sense of instab	oility		
☐ R	ectus Femoris			Abnormal laxit	У		
	lamstrings				npensatory move		nd/or balance
1	iotibial band / tensor fascia latae				ng WB functional		
	astrocnemius			Impaired balar	nce/proprioceptio	on	
<b>u</b> o	ther muscle, limiting function						
□ Impair	red Hip Strength or Endurance						
	MMT 4/5 or lower (glut med, glut max,	and/or hip rotators)					Aloc Coc
1	0% or greater deficit compared to unir						Also See:
1	r dynamometry				MOP A	ppe	ndix D: Form
1		P Appendix A	· Det	ailed De		• •	
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#### **Functional Status:**

- ADLs, work or homemaking, strenuous activity or sport
- Y/N question; indicate "N/A" if the patient never performed the tasks prior to the knee injury or surgery

## Diagnostic Classification & Corresponding Exam Findings (see next slides):

 Check ALL that apply – you do not need to select one classification. If a patient fits into several classifications, you may select all relevant classifications!



#### Classifications

	Limited extension ROM (>3 deg side/side difference) Limited flexion ROM (>5 deg side/side difference)
ш	Functional limitation of ROM, or stiffness
lmp	aired Quadriceps Strength or Endurance
	Presence of lag
	MMT 4/5 or lower on one or both sides
	10% or greater deficit compared to uninvolved side on 1RM or dynamometer
	Functional strength or endurance deficit observed by PT
lmp	aired Musculotendinous Length
	Rectus Femoris
	Hamstrings
	lliotibial band / tensor fascia latae
	Gastrocnemius
	Other muscle, limiting function



- MMT 4/5 or lower (glut med, glut max, and/or hip rotators)
   10% or greater deficit compared to uninvolved side on 1RM
- 10% or greater deficit compared to uninvolved side on 1RN or dynamometry
- (+) hip hike test

Also See: MOP Pages 10-11; 20-22

#### Classifications, cont:

Pair	or Impaired Mobility of Soft Tissue
	Limited or painful scar mobility
	Painful or hypomobile patellar glides
	Pain with palpation of knee soft tissue
lmp	aired Structural Alignment
	Knee varus or valgus observed in static postural exam
	Abnormal foot pronation or supination in static postural
	exam
	True leg length discrepancy
lmp	aired Neuromuscular Control
	Sense of instability
	Abnormal laxity
	Unwanted compensatory movement and/or balance
	strategies during WB functional tasks
	Impaired balance/proprioception



Also See: **MOP Pages 11-12; 20-22** 

Clinic ID: PT ID:	Initial	Week 2	Week 3	Week 4	Week 5	Week 6	DC
Patient ID:							
Date:							
Not Scheduled/Discharged/Terminated Tx:							
Irritability (H = high; M = medium; L = low)			□H □M □L	□н □м □L	□H □M □L	OH OM OL	□H □M □L
Interventions (# of times provided during week)							
ROM (A, AA, or P; could also be CPM or cycling)							
Stretching – manual							
Stretching – mechanical							
Joint mobilization – patellofemoral							
Joint mobilization – tibiofemoral							
Joint mobilization – other joint							
Soft tissue mobilization – instrumented							
Soft tissue mobilization – non-instrumented							
Strengthening: quadriceps NWB							
Strengthening: hamstrings NWB							
Strengthening: hips (WB or NWB)							
Strengthening: calves							
Strengthening: WB multijoint							
Strengthening: trunk (incl. trunk stabilization)							
Aerobic exercise							
Orthotics/bracing							
Taping for pain or dysfunction @ knee							
Modalities: heat or cold therapy							
Modalities: ultrasound							
Modalities: e-stim for pain/swelling							
Modalities: e-stim for muscle strength							
Modalities: e-stim for muscle re-education							
Modalities: lontophoresis or phonophoresis							
Modalities: dry needling							
Agility training (walking/running-based)							
Balance training (incl. perturbation training)							
Movement re-education							
Task-specific training							
Plyometrics							
Assistive device fitting/prescription							
Other, please list							
Change in Classification? (if Y, go to other side)	□Y □N	□Y □N	□ Y □ N	□Y □N	□Y □N	□Y □N	□Y □N
Outcomes	Initial	Week 2	Week 3	Week 4	Week 5	Week 6	DC
IKDC (0 to 100, 100 = best function):							
Calculation: (sum of item scores / 87) * 100							

						T	
Please Indicate Changes in Classification	Initial	Week 2	Week 3	Week 4	Week 5	Week 6	DC
Date:							
Impaired Knee Joint Motion	□Y □N	□Y □N	□Y □N	□ Y □ N	□Y □N	□Y □N	□Y□N
Limited extension ROM							
Limited flexion ROM							
Functional limitation of ROM, or stiffness							
Impaired Quadriceps Strength or Endurance	□Y □N	□Y □N	□Y □N	□Y □N	□Y □N	□Y □N	□Y □N
Presence of lag							
MMT 4/5 or lower on one or both sides							
10% or greater deficit compared to uninvolved side							
Functional strength or endurance deficit observed							
Impaired Musculotendinous Length	□Y □N	□Y □N	□ Y □ N	□Y □N	□Y □N	□Y □N	□ Y □ N
Rectus Femoris							
Hamstrings							
lliotibial band/tensor fascia latae							
Gastrocnemius							
Other muscle, limiting function							
Impaired Hip Strength or Endurance	□Y □N	□Y □N	□Y □N	□Y □N	□Y □N	□Y □N	□Y □N
MMT 4/5 or lower (glut med, max, hip rotators)							
10% or greater deficit compared to uninvolved side							
(+) hip hike test if MMT 5/5							
Pain or Impaired Mobility of Soft Tissue	□Y □N	□Y □N	□Y □N	□Y □N		□Y □N	□Y □N
Limited or painful scar mobility							
Painful or hypomobile patellar glides							
Pain with palpation of knee soft tissue							
Impaired Structural Alignment	□Y □N	□Y □N	□Y □N	□Y □N	□Y □N	□Y □N	□Y □N
Knee varus or valgus observed in static posture							
Abnormal foot pron/supin in static posture							
True leg length discrepancy							
Impaired Neuromuscular Control	□Y □N	□Y □N	□Y □N	□Y □N	□Y □N	□Y □N	□Y □N
Sense of instability							
Abnormal laxity							
Unwanted compensatory movement during tasks							
Impaired balance/proprioception							

Clinic ID: PT ID:	Initial	Week 2	Week 3	Week 4	Week 5	Week 6	DC
Patient ID:							
Date:							
Not Scheduled/Discharged/Terminated Tx:							
Irritability (H = high; M = medium; L = low)			□H □M □L	□н □м □L	□H □M □L	OH OM OL	□H □M □L
Interventions (# of times provided during week)							
ROM (A, AA, or P; could also be CPM or cycling)							
Stretching – manual							
Stretching – mechanical							
Joint mobilization – patellofemoral							
Joint mobilization – tibiofemoral							
Joint mobilization – other joint							
Soft tissue mobilization – instrumented							
Soft tissue mobilization – non-instrumented							
Strengthening: quadriceps NWB							
Strengthening: hamstrings NWB							
Strengthening: hips (WB or NWB)							
Strengthening: calves							
Strengthening: WB multijoint							
Strengthening: trunk (incl. trunk stabilization)							
Aerobic exercise							
Orthotics/bracing							
Taping for pain or dysfunction @ knee							
Modalities: heat or cold therapy							
Modalities: ultrasound							
Modalities: e-stim for pain/swelling							
Modalities: e-stim for muscle strength							
Modalities: e-stim for muscle re-education							
Modalities: lontophoresis or phonophoresis							
Modalities: dry needling							
Agility training (walking/running-based)							
Balance training (incl. perturbation training)							
Movement re-education							
Task-specific training							
Plyometrics							
Assistive device fitting/prescription							
Other, please list							
Change in Classification? (if Y, go to other side)	□Y □N	□Y □N	□ Y □ N	□Y □N	□Y □N	□Y □N	□Y □N
Outcomes	Initial	Week 2	Week 3	Week 4	Week 5	Week 6	DC
IKDC (0 to 100, 100 = best function):							
Calculation: (sum of item scores / 87) * 100							

#### **Weekly Reporting**

- Enter date for start of each week of treatment at the top of each column
- Check box in column if patient was not seen during that week – may be due to:
  - Patient was not scheduled during week
  - Patient has been discharged or
  - Patient has terminated treatment on his/her own
- Check irritability box: High/Medium/Low



#### Irritability Classification

- This is may change over an episode of care, record weekly
- Select the best option from the following definitions:
  - High: effusion of at least 2+ on sweep test, moderate to severe pain, limited ROM with pain before end range, increased skin temperature
  - Medium: stable 1+ or lower effusion on sweep test, mild and stable pain levels, pain around the end of ROM
  - Low: trace or zero effusion on sweep test, no pain at rest or with ADLs, may have some pain with overpressure at the end of ROM

    Also See:

MOP Page 14



#### Interventions

- record number of times provided each week
- "Initial" treatment should include entire 1<sup>st</sup> week of treatment
- If patient was seen beyond 6 weeks, record total number of times each intervention was provided beyond 6 weeks in the column labeled "DC" (discharge)

#### Change in Classification?

- If YES, then fill out page 4
- If NO, then do not fill out page 4 for that week

#### Outcomes – please measure weekly

- IKDC
- KOOS



 Complete only when a change in classification occurred during the relevant week; otherwise, leave blank for that week.



#### **Outcome Measures: IKDC**

- Found in Appendix B of MOP
- Record WEEKLY

Also See:

MOP Appendix B: Form

**MOP Page 16: Scoring Information** 

#### **Outcome Measures: KOOS**

- Found in Appendix C of MOP
- Record WEEKLY

**Also See:** 

MOP Appendix C: Form

**MOP Pages 16-17: Scoring Information** 

# The NOPTOD is a Quality Improvement Project NOT

a Research Project

IRB Approval Is <u>Not</u> Necessary



### Questions???

Questions regarding registration or paperwork: contact Leah Vogt at Orthopaedic Section (contact info on Slide 10)

Questions regarding how to complete documentation or regarding physical therapy aspects of the project: contact Ally Bove <a href="mailto:ams453@pitt.edu">ams453@pitt.edu</a>; (412) 624-9255

